INDEX

Of

WITNESSES

Defense' Witnesses	Page
BARRETT, David B., Colonel, U.S. Army	21831
Cross by Mr. KANZAKI	21831
MORNING RECESS	21851
Cross by Mr. KANZAKI (cont'd)	21852
(Witness excused)	21863
NAKAMURA, Tatsuji	21864
Pirect by Mr. G. Williams	21864
(Witness excused)	21867
MORI, Tokuji	21867
Direct by Mr. G. Williams	21867
(Witness excused)	21870
NOON RECESS	21870
KAWAMOTO, Yoshitaro	21873
Direct by Mr. KANZAKI	21873
(Witness excused)	21881
OIKAWA, Genshichi	21882
Direct by Mr. KANZAKI	21882
(Witness excused)	21883

INDEX

Of

"ITNESSES

(cont'd)

Defense! Witnesses	Page
NAKAYAMA, Yasuto (recalled)	21885
Direct by Mr. ITO	21885
AFTERNOON RECESS	21913
NAKAYAMA, Yasuto (resumed)	21914
Direct by Mr. SAEKI (cont'd)	21914
Cross by Mr. Sutton	21916

INDEX

Of

EXHIBITS

Doc.	Def. No.	Pros.	Description	For Ident.	In Evidence
1341	2574		Affidavit of NAKAMURA, Tatsuji		21864
1340	2575		Affidavit of MORI, Tokuj	i	21868
1004	2576		Affidavit of KAWAMOTO, Yoshitare		21874
1345	2577		Affidavit of NAKAYAMA, Yasuto		21886
1345-	в 2578		Document referred to in Paragraphs 11, 12, 13 and 18 of Affidavi of NAKAYAMA, Yasuto	t	21887

Monday, 12 May 1947 1 INTERNATIONAL MILITARY TRIBUNAL FOR THE FAR EAST Court House of the Tribunal War Ministry Building Tokyo, Japan 6 7 The Tribunal met, pursuant to adjournment, 8 at 0930. 9 10 Appearances: 11 For the Tribunal, same as before. 12 For the Prosecution Section, same as before. 13 For the Defense Section, same as before. 14 15 (English to Japanese and Japanese 16 to English interpretation was made by the 17 Language Section, IMTFE.) 18 19 20 21 22 23 24

M o r s e

MARSHAL OF THE COURT: The International
Military Tribunal for the Far East is now in session.

THE PRESIDENT: Mr. Lazarus.

Thursday afternoon when I asked the Tribunal not to sit on Friday, I announced that the prosecution had been wholly unable to help us with any documents during the week. I did not mean to say that. Actually the prosecution had been held up only during part of the week, a small part of the week, in its inability because of the paper shortage not to give us any documents. The prosecution has been most cooperative and it was inadvertent that I said that they had been wholly unable to give us any documents. As a matter of fact, because of the temporary shortage in the defense and in the prosecution, that was why we were unable to proceed, but the prosecution had all during the week worked for us.

THE PRESIDENT: I am authoritatively informed that there is a world shortage of paper, so we must make the best of the supplies we have. I am sure the defense will give us their fullest cooperation.

Mr. Williams.

IR. G. WILLIAMS: If the Tribunal please, just prior to adjournment last Thursday I offered

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JR. G. WILLIAMS: If the Tribunal please, just prior to adjournment last Thursday I offered

into evidence defense document No. 792, the Treaty of Commerce and Navigation of 1896 between Japan and China. Due to the absence of Court copies, no action on this document was taken by the Tribuanl. Accordingly, I should like to withdraw this tender so that the document may be offered at a later and more appropriate time, along with certain other basic treaties.

THE PRESIDENT: Well, you withdraw it, do you? Mr. Tavenner.

MR. TAVENNER: If it please the Tribunal, defense counsel and prosecution counsel have agreed to the production of the witness Colonel Barrett for cross-examination at this time, with the Tribunal's permission.

THE PRESIDENT: The Tribunal agrees.

MR. TAVENNER: Will you call Colonel Barrett?

MARSHAL OF THE COURT: The Marshal has not been informed as to the presence of the witness. I don't know where he is.

MR. TAVENNER: He is right at the entrance to the courtroom.

THE PRESIDENT: The Marshal should be informed of all these things. That is the Court's order. He is only doing his duty in pointing it out.

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DAVID D. BARRETT, Colonel, USA, a witness called on behalf of the prostation, being first duly sworn, testified as follows:

MR. TAVENNER: You may cross-examine.

MR. KANZAKI: Counsel KANZAKI.

THE PRESIDENT: Counsellor KANZAKI.

MR. KANZAKI: Mr. President, there seems to be many opinions and conclusions in this affidavit of this witness. I should like to have those opinions and conclusions disregarded.

THE PRESIDENT: I repeat for the fiftieth time, perhaps, that all opinions will be disregarded. Please don't ask us to repeat directions we have already given or intimations we have already made.

MR. KANZAKI: Thank you.

CROSS-TXAMINATION

BY MR. KANZAKI:

Q Mr. Witness, it appears that you have investigated the Chinese side at Wanping. Have you ever had occasion to investigate the Japanese side?

A During the period that I was on duty as assistant military attache at Peking, it was my duty to report the situation as it then existed as fully and accurately as possible. Therefore, I used every

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means available to me to ascertain the situation, not only from the Chinese side but from the Japanese side. THE PRESIDENT: That appears in the penultimate paragraph of his affidavit. 4 It appears from your affidavit, Mr. Witness, that from July 9, 1937, to about the 19th of July you 6 visited Wanping Hsien five or six times. During that period have you ever had any occasion to visit the 8 9 Japanese side? THE PRESIDENT: You have given wrong dates or you have been wrongly interpreted. MR. KANZAKI: After the 9th of July up to the 12

28th of July. Will you please answer?

I would like to have the question repeated. THE PRESIDENT: Repeat the question as amended. (Whereupon, the last question and statement by Mr. KANZAKI were read by the official court reporter.)

After the 9th of July and up to the 28th of July I had occasion to ascertain to the best of my ability the Japanese side of the situation. exactly what is meant by the question "visit the Japanese side" I am not entirely clear.

Q My meaning -- what I meant by visiting the Japanese side was did you see the Japanese troops, did

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you talk with anybody in command or with responsible authority over those troops, and did you hear from this party anything about the situation?

A During this period I saw the Japanese troops on a number of occasions. I talked to Japanese officers of my acquaintance. I also talked to certain Japanese who were performing duty as liaison between the Japanese garrison in Peking and the office of the military attache.

- Q Who was this liaison officer?
- A I am not absolutely certain, but I believe that his nae was OKAGI.
 - Q What was his rank?

A Mr. OKAGI, to the best of myknowledge and belief, did not hold any active rank in the Japanese /rmy. Whether he carried on his duties as a liaison officer officially or unofficially I am unable to state.

Now, Mr. Witness, you state in your affi
davit that you visited the Chinese side at Wanping

at least five times between July 9 and July 25; and

the question I should like to ask you is, how many

times did you visit the Japanese side during the same
period?

A As nearly as I can remember, the only occasion on which I actually went within the Japanese lines was on the occasion of my first visit to Lukouchiao as described in my affidavit.

Q Then it amounts to only this, doesn't it: that you just, on your way to Wanpinghsien, just happened to see Japanese soldiers assembled along the railway embankment?

A The fact of the matter was, I proceeded first to Wanpinghsien. From the east gate of Wanpinghsien I proceeded northeast across the railroad track and there found myself within the lines of a Japanese battalion which was assembled on the north side of the railroad track.

Q Mr. Witness, did you talk with the battalion commander or any officer of that battalion assembled there?

A I did not talk with the officer. I attempted to talk to the officer in command of the battalion;

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in the city of Peking.

but, due to the fact that he did not speak English 1 or Chinese, and I had no interpreter with me, I did 2 not carry on any conversation with him. 3 Did you ascertain whether he spoke English 4 or Chinese? 5 I ascertained as best I could. 6 Mr. Witness, do you speak Chinese? 7 Q 8 Yes, sir. A Then, was this the only occasion that you 9 visited the Japanese side -- or Japanese troops? 10 As I stated before, I am not entirely 11 clear what you mean by the question, did I visit 12 the Japanese side or did I visit the Japanese 13 14 troops? I mean to say that, did you investigate 15 the Japanese side as you investigated the Chinese 16 17 side between 9 July and 25 July? 18 During the period you have stated I inves-19 tigated the Japanese side. 20 What kind of an investigation did you carry 21 on? 22 The investigation consisted of observing 23 the dispositions of the Japanese troops on the 24 ground and of questioning Japanese of my acquaintance Q Then you did not personally visit Japanese troops?

A I did not personally visit Japanese troops to this extent: I did not go to the headquarters or to the command post of any Japanese troops in the vicinity of Lukouchiao except on that first day.

But, in the course of my duties, I was in close proximity to Japanese troops on several occasions and had an excellent opportunity to observe their disposition.

Q Now, did you go into the Japanese lines beyond just merely approaching the Japanese troops by very close proximity?

A As a matter of fact, I am unable to state positively that there was any such thing as a definite Japanese line after the morning of the 9th of July when I first visited the command post.

Q Then I should change my question, Mr. Witness. You said that you investigated Japanese troops
quite well. Now, did you know, Mr. Witness, that the
Japanese troops -- that a second inspection was just
awaiting the Japanese troops at that time?

A I was not aware of the fact that I ever said anything about knowing that there was a first inspection of the Japanese troops awaiting at that

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time.

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Q You being a soldier, I should like to ask you this question: Is it not customary for all troops to hold maneuvers before an inspection?

A Speaking only from my own experience as a United States Army officer, I would say that it is by no means necessarily an established custom.

Q I am asking you about the Japanese Army, Mr. Witness. Do you not know that Japanese Army inspections are always preceded by very active maneuvers?

A From my own personal knowledge, I am unable to make any such declaration.

Q You state in your affidavit, Mr. Witness, that within twenty-four hours of the attack on Wanping, large reinforcements had been sent through the Great Wall. Now, if the attack on Wanping took place on the 9th, what day and what time do you mean when you say "within twenty-four hours"?

A As to the exact hour that these reinforcements were reported moving south through the Great
Wall, I am unable to state positively. As these
reports were received, a very careful record was
made of them as they came in. This record was very
carefully maintained as a part of the records of the

office of the military attache upon which we based our reports to higher authority.

THE PHESIDENT: Pause for translation after short sentences, Colonel Barrett. Otherwise you will have our translation section in difficulty. The shorter the sentence, the more frequent the pause, the better for them.

Q Do you know when troops came from Manchukuo into the Peiping-Tientsin area -- when they actually came into that area?

A As to the exact time of their arrival in the Tientsin area, I am not able to testify from memory.

Q Then, when you state that these reinforcements arrived within twenty-four hours, that is just a report and not a statement of the exact time that they arrived; isn't that so?

A It is evidently not an exact statement of the time that they arrived.

Q Now, "within twenty-four hours of the attack on Wanping" means this: that the attack on Wanping was made on the morning of the 9th of July, and that morning you were in Wanping. Were there indications that large reinforcements were forthcoming from Manchuria into China?

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A As far as I know, I think I did not testify that the attack on Wanping, to my personal knowledge, began on the morning of the 9th. In my affidavit I testified that I had been informed that the attack began in the early morning hours of the 7th.

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That is it. If it is 24 hours after the 0 attack it would be the 9th. That is what I meant.

On my return to Peking after visiting Wang pinghsien, on the morning of the 9th, reports began to arrive at our office that large numbers of troops were on their way inside the Great Tall, and that the advanced elements of these troops had already passed Shanghaikuan.

By large reinforcements what do you mean? How large were these forces numerically?

A May I ask to have the question repeated?

What was the numerical size of these troops -- of these large forces?

I am unable to state from memory the strength of the advanced elements of those troops which had arrived inside the Great Wall at the time I mentioned.

O You received assembled reports that these reinforcements crossed the Great Wall. Did you receive any definite information or report that they had arrived in the Tientsin-Peiping area?

We did not receive assembled reports concerning the arrival and movements of these troops. reports that we received were made from time to time and were not a complete summary or an assembled report.

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Among those reports which you received from 0 time to time -- and I don't mean to infer that you were receiving complete reports -- was there any report stating the time of the arrival of Japanese forces in the Peiping-Tientsin area?

The term "Peiping-Tientsin area" is rather an indefinite one. The reports that we received were that those forces bad passed a given point moving toward the west and therefore were presumed to have arrived in the Peiping-Tientsin area at the time I have stated.

You seem to have gathered considerable reports with respect to Japanese troop movements. Now I should like to ask some questions with regard to Chinese troops.

Do you know when an agreement was signed between -- a mediation agreement was signed between the Japanese and Chinese side on the spot?

I have no first-hand knowledge as to when such an agreement was signed.

Do you know when the Chinese air force was brought into action?

A As far as my personal knowledge and belief are concerned, I did not know that the Chinese air force was ever brought into action.

centrated four divisions on the north side of Honan
Province?

THE MONITOR: The northern border of Honan
Province.

A I don't know that date, nor have I any
knowledge as far as my personal knowledge is concerned, that such divisions were concentrated.

O Do you know when orders for action were
issued to the air force at Canton and to the army?

THE MONITOR: The air force and army located
in Canton.

A May I ask, Orders for what action?
Orders were issued by the Central Government in Nanking to the army, that is, the ground

Orders were issued by the Central Government in Nanking to the army, that is, the ground force and the air force in Canton, to start movements.

A The question is a very, very indefinite one. I will answer to the best of my ability. I don't know when any such orders were issued.

O Do you know the fact that General Sung Che-yuan came to the Tientsin headquarters to express his apologies?

A I don't know on what date that occurred.

Do you know that there was such a fact,

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that he had made an apology?

A I was so informed, but I had no personal first-hand knowledge of this event.

Now, an incident broke out at Lukouchiao on the 7th. Do you know that another incident broke out on the same spot on the 11th?

A I know that following the 7th there were from time to time clashes between Chinese and Japanese troops in the vicinity of Lukouchiao. As far as I was concerned, we considered it a continuation of the same incident.

- Do you know of the death of Commander
 TASHIRO of the Japanese forces?
 - A At that time I did not.
 - O Then were you informed of his death
- A I knew that there had been casualties on both sides, but the particular names of any officers or enlisted men who were killed or wounded I was not informed about.
- O I am not speaking of any N.C.O. or soldiers but the Commander-in-Chief of the Japanese garrison soldiers.

THE PRESIDENT: He said officers, not N.C.O.'s.

A I am sorry; I did not know you referred to the death of General TASHIRO. You referred to him

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as Commander TASHIRO, which threw me off. I was
informed of the death of General TASHIRO.
O Do you know that he died as a result of an
illness
A I have heard that report from so many
sources that I can enswer yes.
Q Mr. Witness, are you familiar with the
Lanfang Incident?
A I am not familiar with the Lanfang Inci-
dent.
O To refresh your memory, I shall give you
a brief explanation.
The Japanese Army communications wires
were destroyed. Therefore, Japanese troops, with
the understanding of the Chinese, went to that spot
to repair these wires; and the fact I want to point
out to you is that after the repair work had been
completed and the Japanese repair party was resting
they were surrounded and attacked by Chinese troops.
Are yournot familiar with this Incident?
A May I ask on what date this Incident is
reported to have occurred?
The Incident occurred on the 25th.

I was aware that on or around the 25th of

July clashes had occurred between Japanese and

Chinese troops at various points between Tientsin and Peiping. Among those points, I remember hearing, that a clash had occurred at Lanfang. The circumstances of that clash were not reported to me in detail at that time, and I did know under what circumstances the clash was supposed to have occurred.

Q Then, are you familiar with the Kuang-An Men Incident?

A Not from first-hand knowledge.

Q Well, even if you have no first-hand knowledge of the Incident I presume that you have received quite a number of reports from various sources on that matter.

A I did receive various reports concerning the Kueng-An Men. Incident. At the time the Incident occurred, I was attending a social function and was not present in the immediate vicinity of where the clash occurred. It was, however, naturally an occurrence in which I was very much interested and I did the best I could to ascertain the facts of the Incident.

Q What kind of an investigation did you conduct in reference to that Incident?

A I conducted the kind of an investigation which would formally be carried out by an assistant military attache, which was to question as many people as we could who knew something about the Incident.

Q Did you hear anything from the Japanese with regard to that Incident?

A Yes, sir.

Q From whom and what kind of information did you recive?

A I received an account of how the Incident occurred from Mr. OKAGI.

Q Can you speak, say, something more concrete, more detailed, about this Mr. OKAGI of whom you speak?

A As I have said, Mr. OKAGI acted as a liaison officer between the Office of the Military Attache and the Commander of the Peiping Japanese Garrison, and between the Office of the Japanese Military Attache in Peiping. I am -- As I said before, I do not know whether he held an official position with the Japanese Army, or acted more or less unofficially in this capacity. He did, however -- THE MONITOR: Just a minute, please.

Q What kind of a thing did you hear from OKAGI? What was its nature?

A I was not given an opportunity to finish the statement that I was making at the time the red light went on.

Q Plasse continue; Mr. Witness.

A Mr. OKAGI on a number of occasions acted as an interpreter when officers of the Japanese Garrison or from the Military Attache's Office had

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occasion to visit our office, and when we had occasion to visit the corresponding Japanese officers.

THE PRESIDENT: Was he quartered with the Japanese Army or Consulate?

THE WITNESS: To the best of my knowledge --Sir, may I answer your question?

THE PRESIDENT: Yes, you may now.

THE WITNESS: As far as I know, Mr. OKAGI was not an officer on active duty with the Japanese Army at that time.

THE PRESIDENT: As he was your informant on a vitally important matter, we should know as much about him as you can tell us, Colonel.

when we were visited by Japanese officers, sometimes very high-ranking Japanese officers, and when we had occasion to make visits on official business, Mr. OKAGI frequently acted as interpreter. He also acted as a liaison officer between our office and various organs of the Japanese Army in Peiping and we understood that he had on occasion status as a liaison officer.

THE PRESIDENT: On behalf of the Japanese authorities; is that so?

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A Yes. BY MR. KANZAKI (Continued): What did this liaison officer, called OKAGI, tell you about the Kuang-An Men Incident? Mr. OKAGI stated that a Japanese detachment, riding in trucks, was passing through the Kuang-An Men during the late afternoon of the date on which the Incident occurred. While the detachment was passing through the gate, a clash occurred 10 between Japanese forces and Chinese forces in or around the gate. When I say "in the gate" I mean on the walls surrounding the enceinto or bulge which existed between the outer and inner gate of the Kuang-An Men. THE MONITOR: Could you spell out that Chinese word you referred to, Mr. Witness? THE WITNESS: I don't know which word. I didn't use any Chinese word except the name of the gate.

THE MONITOR: You used some technical 21 term, did you not, with reference to the gate? 22 THE WITNESS: What I meant was, as I said, 23 the open space or bulge between the outer and the inner gate of the Kuang-An Men; and the term which I 25 used was a French word which means bulge or

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protuberance.

THE MONITOR: Thank you.

THE WITNESS: Actually what it means: It means a woman who is carrying a child in her stomach.

BY MR. KANZAKI (Continued):

Q Then, do you not know how the clash occurred at Kuang-An Men?

A Actually I was very desirous of knowing the circumstances under which the clash had occurred.

I did my best to ascertain these facts, not only from Mr. OKAGI but from other sources. As was to be expected, I heard conflicting reports as to the cause of the clash. The report from Mr. OKAGI was that Chinese forces had either opened fire with small arms, or thrown a hand grenade at the Japanese forces while they were passing through the gate. The report from other sources, however, was to the effect that it was the Japanese who had opened -- who had started the hostilities. Therefore, I am unable to state from any first-hand knowledge as to just who started the Incident at the Kuang-An Men.

Q Do you know from information you gathered in connection with the Kuang-An Men Incident that the Japanese detachment was cut in half when the gates -- the doors of the gates were closed and after

half of the Japanese troops had gone inside the wall with the other half remaining outside?

A I had been informed that the Japanese detachment was, in fact, so separated into at least two parts. I did not hear that the gates were closed. It was natural, however, that in passing through a gate of the sort -- of that sort, that the detachment was, in effect, split into one or more parts.

Q Did you not arrive at any conclusion as to which side opened hostilities after collecting such information?

A Inasmuch as I was not an eye witness to the Incident, nor was I able to question any witnesses whom I considered unprejudiced on the subject, I was not able to form a definite conclusion as to who started the Incident.

THE PRESIDENT: We will recess for fifteen minutes.

(Whereupon, at 1045, a recess was taken until 1100, after which the proceedings were resumed as follows:)

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MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now resumed. THE PRESIDENT: Yes, counsel.

BY MR. KANZAKI (Continued):

Q Mr. Witness, did you gather information from parties other than Mr. OKAGI?

THE MONITOR: Japanese other than OKAGI.

A If so I cannot remember at this time who they were.

Have you ever had occasion to visit Japanese Army headquarters to ascertain the facts?

A I did not visit the Japanese Army headquarters for the purpose of ascertaining the facts about the Kuang-An Men Incident.

Q Not only the Kuang-An Men Incident, but with regard to other incidents, have you ever had occasion to go to the Japanese Army headquarters?

A I had occasion to visit the Japanese Army headquarters in the course of my duties very frequently from time to time. I cannot remember any occasion when I went there for the specific purpose of investigating any other incidents other than -- any incidents in connection with the Lukouchiao affair.

Q According to your affidavit, you state that the Chinese troops were peaceful. Now, what aspects

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of the Chinese troops do you base that judgment on?

A May I ask to what particular time you are referring?

Q I shall quote the pertinent passage in your affidavit. It begins at the bottom of page 1 in the English text.

"I further stated that I believed the incident could easily be settled if the Japanese really so desired, as I had observed absolutely no signs of any aggressive attitude on the part of the Chinese."

A I said that the actions of the Chinese troops at this particular time were peaceful because they were behaving in what is commonly accepted as a peaceful manner. In other words, they had assumed or taken up no dispositions which would have enabled them to assume any offensive attitude.

THE PRESIDENT: You were at pains to have his opinions excluded, and now you are equally at pains to have them extracted from him.

MR. KANZAKI: I am sorry, sir.

Q Do you know, Mr. Witness, of the fact that on the 27th of July, the day following the Kuang-An Men Incident, the Japanese sent an ultimatum to the Chinese?

A I have heard it so stated.

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You state in your affidavit, Mr. Witness, that about July 31 you went or visited the Nanyuan Airfield. A That is correct. And you state that there were dead bodies of Chinese troops lying around there. Did you see them yourself, with your own eyes? I saw many dead bodies of Chinese troops on the road leading from the south gate of the city of Peking to the Nanyuan Airfield. And you mention that they bore identification badges. What kind of identification badges did they have? which is worn by troops of the Chinese Army.

They wore the usual identification badge

THE PRESIDENT: Is that a metal disk, or something on the tunic?

THE WITNESS: As I remember it was a cloth patch sewed on the outside of the uniform.

THE PRESIDENT: There has been debate about that previously, and I think you are the first witness to give evidence on it.

Now, you said that they were cloth identifications. Did they bear these on their sleeves or on their breast, and what was written on them?

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As I remember it, they were worn on the left breast above the pocket. THE PRESIDENT: Lid the unit and the number appear? THE WITNESS: To the best of my knowledge and belief, the unit and number appeared on the patch.

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"as there a vermilion seal affixed to this identification?

I cannot remember whether there was a seal affixed or not. Usually, there is such a seal affixed to the Chinese identification badges, but in this particular case I am not able to state.

Q You say that you saw several hundred dead bodies. Did all these dead bodies bear identifica-

I did not check to see.

I asked you that question because you state in your affidavit that there were several hundred dead bodies that you saw. But those -the dead bodies you saw bore identification marks, badges; is that so?

A I did not check a very considerable number of the dead bodies to ascertain whether or not they were wearing identification badges.

Q I am not asking you whether all these dead bodies had identification badges, but did the dead bodies that you saw have them? That is what I am asking.

As I stated, I saw and estimated several hundred dead bodies. I am not able to state that all these dead bodies wore identification badges.

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your affidavit:

1	Q "ere there any in plain clothes among
2	the dead bodies, dead bodies not wearing uniform?
3	A No.
4	Q Do Chinese troops usually wear identifi-
5	cation badges?
6	A At that time it was the custom.
7	Q According to your affidavit you state
8	that the Japanese troops attacked Nanyuan from
9	the south on July 28?
10	A Yes, sir.
11	Q And you state that you saw troops with-
12	drawing in the direction of Peiping, and you say
13	that they hardly had any will to fight; is that so?
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15	A As I remember my affidavit, I did not say that these troops had no will to fight. I testi-
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17	of Peining a day on two provides of the wall
18	of Peiping a day or two previous to the occasion
19	on which I visited Nanyuan showed no evidence of
20	having been engaged in battle. I also stated that
21	the troops that something appeared to have
22	happened to the troops which had disrupted their
23	normal military organization.
24	Q Then I will read this pertinent part in

"On the morning of 28 July, I observed

Japanese planes attacking an area to the south of the city of Peiping. I estimated this area to be the Nanyuan Airfield, about ten miles south of the city. Later in the day, I observed Chinese infantry straggling in to the city along the street which runs to one of the gates leading to the Nanyuan Airfield. These troops did not appear to have been engaged in combat, but something had evidently occurred which had disrupted their normal military organization."

THE INTERPRETER: If the Tribunal please, there is a slight difference in the Japanese and English texts in respect of this particular passage.

THE PRESIDENT: We will refer it to the Language Section for immediate attention. Will you please state the difference so that the Language Section will appreciate what you are saying?

THE INTERPRETER: Mr. President, the last observation was made by the Language Section and not by defense counsel -- the difference in the Japanese and English texts.

THE PRESIDENT: We refer it to Major Moore for immediate attention.

Proceed with something else.

IR. KANZAKI: I shall, sir. You are aware of the fact, Mr. Witness, 2 are you not, that Nanyuan was attacked on July 28? 3 Were not the troops which were withdrawing within the walls of Peiping troops which had been defeated in the field? A The troops appeared to me not to have been engaged in action. Do you not know that there was fighting in Nanyuan on July 28? A I knew that there had been fighting in Nanyuan on July 28. THE PRESIDENT: He says so and observed a plane attacked there on that day.

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Q Do you mean to say that soldiers, Chinese troops, which appeared to be withdrawing from Nanyuan into the walls of Peiping appeared in that condition?

THE PRESIDENT: Well, now, what he says appears clearly in his affidavit. Why get him to repeat it? It is so senseless. It is a stupid waste of time.

You haven't read his affidavit; if you have, you have forgotten what is in there.

MR. KANZAKI: That concludes my examination.

One of my colleagues would like to have
questions addressed to the witness through me.

Q In your affidavit you state that Chinese and Japanese troops clashed during a maneuver. Did you not know the Japanese carried only blank cartridges?

A I know that ball ammunition was fired in the course of the clash.

Q Do you know that during the maneuvers the Japanese troops had only blank cartridges?

A I have no firsthand knowledge of what ammunition was carried in the field in the usual course of Japanese maneuvers.

THE PRESIDENT: When you were making your investigations, did anybody, Japanese or other, suggest to you that blank cartridges were used in maneuvers on

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	the night of the 7th of July?
	THE WITNESS: No, sir.
	Mr. President, you said blank ammunition?
	THE PRESIDENT: Blank.
	THE WITNESS: Yes. Nobody suggested that
(blank ammunition had been used.
7	Q Do you not know that there were many com-
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11	between the Communist Army and the 29th Army in North
12	China?
13	A May I ask, relationship in what respect?
14	MR. TAVENNER: If your Honor please, that is a
15	matter entirely beyond the scope of the affidavit, and
16	we object to it.
17	THE PRESIDENT: Yes. What have you to say,
18	counsel?
19	MR. KANZAKI: After looking through various
20	evidence we have come to the conclusion that the
21	communists had much to do with the origin of the clash
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23	between Japanese and Chinese troops, and, therefore, I
24	have asked this question of the witness because he
25	has lived in North China for a long time and has engaged

in various investigations, military and otherwise, and,

therefore, should have knowledge of such matters.

THE PRESIDENT: I suppose you mean after looking through the evidence that you propose to offer.

Did you find any evidence of communist accommunist accommunist accommunist.

Did you find any evidence of communist activity behind this trouble in the course of your investigations?

THE WITNESS: None whatsoever, sir.

mens whatsoever, sir.

THE PRESIDENT: That ought to be enough.

Q You said you saw dead bodies of Japanese troops when you visited Wanpinghsien. Did you not see any dead bodies of Chinese troops there?

A I did see dead bodies of Chinese troops.

In your affidavit you state: "I then walked around the northeast corner of the city wall to the Peking-Hankow Railway at a point approximately due north of the city. There I found a battalion of Japanese infantry assembled along the north side of the railway. A few sentries were posted along the top of the railway embankment observing the city of Wanping to the south. I observed one or two dead Japanese soldiers lying near the railway embankment."

You do not mention dead bodies of Chinese soldiers. Did you see any of them there?

A The duad Chinese soldiers that I observed were near the West Gate of Wanpinghsien.

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I should like to ask again, Mr. Witness, what was your rank at that time? 3 My rank at that time was major. 4 MR. KANZAKI: Thank you. 5 MR. TAVENNER: If the Tribunal please, there will be no redirect examination. 7 THE PRESIDENT: Well, we had better have this 8 translation problem solved. 9 LANGUAGE ARBITER (Major Moore): Mr. President. 10 If the Tribunal please, there seems to be no mistake 11 in the translation. It is suggested that the inter-12 preter was referring in the English to a different 13 paragraph. 14 THE PRESIDENT: That is sufficient. Thank you, 15 Major. It is very easy to mistake a paragraph. 16 Well, the witness is no longer required. 17 You are at liberty now, Colonel, on the usual 18 terms. 19 (Whereupon, the witness was excused.) 20 MR. G. WILLIAMS: The defense calls as its next 21 witness NAKAMURA, Tatsuji. 22 23 24

	TATSUJI NAKAMURA, called as a witness
1	on behalf of the defense, being first duly sworn,
2	testified through Japanese interpreters as follows:
3	DIRECT EXAMINATION
4	BY MR. G. WILLIAMS:
5	Q Would the witness tell the Tribunal his
6	name and residence, please?
7	A My name, NAKAMURA, Tatsuji. My address:
8	Tokyo to, Kami-Kyoku, Sendagi cho, 50-12, c/o MINAKAMI,
9	Takeshi.
.0	Q What is your present occupation, if any?
1	A I work in a business office.
3	MR. G. WILLIAMS: May the witness be handed
4	defense document No. 1341, please?
5	Q Would you examine that document and tell
6	the Tribunal whether or not that is your affidavit?
7	A This is my affidavit.
8	Q Are the contents thereof true and correct?
9	A True and correct.
0	MR. G. WILLIAMS: The defense offers in
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2	of the witness NAKAMURA, Tatsuji.
3	THE PRESIDENT: Admitted on the usual terms.
Ą	CLERK OF THE COURT: Defense document No. 1341
5	will receive exhibit No. 2574.

1	(Whereupon, the document above
2	
3	No. 2574 and received in evidence.)
4	THE PRESIDENT: The Judges have no copies
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6	page distribute copies?
7	MR. G. WILLIAMS: If the Tribunal please,
8	this affidavit is very short.
)	THE PRESIDENT: Yes, it is only a paragraph
)	or two.
	I have a copy but my colleagues have not.
	You will have to get copies for all the Judges.
	Apparently my associate was told that this was going
	to be used today.
	What is the exhibit number?
	MR. G. WILLIAMS: 2574, sir.
	THE PRESIDENT: I invite you to read it.
	It is only a short affidavit.
	MR. G. WILLIAMS: Sworn deposition of
	NAKAMURA, Tatsuji:
	"From November 1, 1938 till January 9, 1940
	I was a staff officer of the China Area Fleet and was
	the officer in charge of the movements of the warships
	of the same Floot

"Hence I have full information on the

movements of the ships of that Fleet.

"Prosecution document No. 9550-1 claims that two Japanese destroyers were despatched to the Indian Ocean in December 1939 to receive a cargo of Shanghai-bound Persian opium, but during my term of office there were no instances whatsoever of destroyers attached to the China Area Fleet operating in the Indian Ocean.

"Further, there were absolutely no cases of destroyers escorting cargo-boats in that area in that period of time nor of destroyers receiving freight from cargo-boats and transporting same."

Signed "NAKAMURA, Tatsuji."

If the Tribunal please, the reference in this deposition to prosecution document No. 9550 is the same as exhibit No. 427. If the Tribunal desires I shall read the sentence from that exhibit which this testimony refutes.

THE PRESIDENT: There is no need to do so.

MR. G. WILLIAMS: Very well, sir.

THE PRESIDENT: Is there any cross-examination?

MR. G. WILLIAMS: The prosecution may cross-examine.

THE PRESIDENT: Brigadier Quilliam.

BRIGADIER QUILLIAM: May it please the

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1	Tribunal, the prosecution does not wish to cross-
2	examine.
3	MR. G. WILLIAMS: I ask that the witness
4	be released on the usual terms, your Honor.
5	THE PRESIDENT: He is released accordingly.
6	(Whereupon, the witness was excused.)
7	MR. G. WILLIAMS: The defense calls as its
9	next witness MORI, Tokuji.
10	TOKUJI MORI, called as a witness on behalf
11	of the defense, being first duly sworn, testified
12	through Japanese interpreters as follows:
13	DIRECT EXAMINATION
14	BY MR. G. WILLIAMS:
16	Q Will the witness tell the Tribunal his
17	name and residence, please?
18	A My name is MORI, Tokuji. My address: No.
19	No. 421 Honan-cho, Suginami-ku, Tokyo.
20	Q Will you tell the Tribunal your present
21	occupation, if any?
22	A Director of the shipping company and also
23	director of the Oshima Industrial Company, Limited.
24	MR. G. WILLIAMS: May the witness be shown

Will you examine that document and tell the

defense document No. 1340, please.

1 Tribunal whether or not it is your affidavit? 2 THE INTERPRETER: It appears that the witness 3 is reading the affidavit. The passage he read was: 4 "From October 22, 1934 till March 1936 I was senior staff officer of the 3d Fleet and participated in 6 the movements of warships of that fleet." 7 THE PRESIDENT: Witness, you are asked to 8 say whether the contents of that affidavit are true. 9 THE WITNESS: The contents are true. 10 MR. G. WILLIAMS: Defense offers in evidence 11 defense document No. 1340, being the affidavit of the 12 witness MORI, Tokuji. 13 THE PRESIDENT: Admitted on the usual terms. 14 CLERK OF THE COURT: Defense document 15 No. 1340 will receive exhibit No. 2575. 16 (Whereupon, the document above 17 referred to was marked defense exhibit No. 2575 18 and received in evidence.) 19 MR. G. WILLIAMS: I shall proceed with the 20 reading of the affidavit. 21 "From October 22, 1934 till March 1936 I 22 was senior staff officer of the 3d Fleet and partici-23 pated in the movements of warships of that fleet.

"At the time, Japanese gunboats capable of

making ocean trips were only three, the Ataka, Saga

and Uji, all three attached to the 3d Fleet.

"The locations of the above three warships around November 1934 were: Ataka in the Yangtze

area, the Saga in the Swatow area and the Uji in

home waters.

"Consequently, in the above period no transportation of opium by Japanese gunboats could have been possible as claimed in prosecution documents No. 9507-3. Further there were no instances of guarantee of transportation or delivery being given.

"If such incidents had taken place, I as senior staff officer would have heard all about them, but I never heard of such instances.

"Further, I never encountered such cases throughout my term of office as senior staff officer of the same Fleet."

Signed "MORI, Tokuji."

Prosecution may cross-examine the witness.

BRIGADIER QUILLIAM: May it please the Tribunal, we do not wish to cross-examine.

THE PRESIDENT: It has just been reported to me--I have not the details--that the defense are refusing to produce a certain document to the Tribunal. The document relates to the Russian phase. The defense can look into the matter.

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The witness is excused.
                     (Whereupon, the witness was excused.)
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                We will adjourn until half-past one.
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                     (Whereupon, at 1200, a recess was
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           taken.)
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AFTERNOON SESSION

The Tribunal met, pursuant to recess, at 1335.

MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now in session.

THE PRESIDENT: Major Blakeney.

MM. BLAKENEY: Just prior to the midday recess the President made a statement relative to documents in the Soviet phase of the defense. Since I have to do in a small way with that phase, I wish to say a word or two in response.

From what source the Tribunal received its information or of what it consists, we do not know. The matter had not been previously mentioned to the defense.

THE PRESIDENT: I understand now it refers to the opening statement. At least one Member of the Tribunal is apprehensive that the opening statement may be used as a means of hurling Japanese insults at the victorious Allied Powers instead of being a sober statement of the evidence proposed to be adduced.

MR. BLAKENEY: I am charged with the task of presenting some of the evidence and preparing the

corresponding portion of the opening statement in that phase; and, if the Tribunal's observations refer to me, I want it to be understood that I resent them most bitterly.

THE PRESIDENT: None of us had you in mind, Major Blakeney.

MF. BLAKENEY: And I daresay that, if the other gentlemen working on the other parts of the statement had their say, they would make the same statement.

As to the withholding of a document, on one or more occasions in the past the opening statements of the prosecution were not served on the Tribunal or defense counsel until immediately before or, in one instance, after the reading of them in open court commenced. On that last occasion, in answer to the objections and protests of the defense, the President stated that the Tribunal had not the power to compel nor did the rules require advance service of opening statements.

For my part, I submit that the statement should be prepared and presented in the usual way and, if it then offends against the proprieties or the rules of the Tribunal, that I should be punished for contempt for my part in it, but that the defense

be not subjected as a body to the obloquy of having
made in open court accusations, inuendoes, which we
have no way of defending ourselves against.

THE PRESIDENT: The requirement is mutual. The defense have obligations not to insult the Allied Powers; the Court has an obligation to respect the feelings of the defense. The Tribunal adheres to the decision on opening statements.

Yes, counsellor.

MR. KANZAKI: I should like to call the defense witness KAWAMOTO, Yoshitaro.

y 3 S H I T A R O K A W A M O T O, called as a witness on behalf of the defense, being first duly sworn, testified through Japanese interpreters as follows:

DIRECT EXAMINATION

BY MR. KANZAKI:

Q Mr. Witness, pleast state your name, age and address.

A KAWAMOTO, Yoshitaro; fifty years of age; my residence, 2234, Omachi Nagoshi, Kamakura.

MR. KANZAKI: May the witness be shown defense document 1004?

(Whereupon, a document was handed

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to the witness.) 1 Mr. Witness, please examine that document. 2 3 Is that document made by you, and is your signature 4 on that document? 5 A Yes. 6 Q Are the contents true and correct? 7 A Yes. 8 MR. KANZAKI: I present in evidence defense 9 document No. 1004, affidavit of KAWAMOTO, Yoshitaro. 10 THE PLESIDENT: Admitted on the usual terms. 11 CLERK OF THE COURT: Defense document No. 12 1004 will receive exhibit No. 2576. 13 (Whoreupon, the document above re-14 forred to was marked defense exhibit No. 15 2576 and received in evidence.) 16 MR. KANZAKI: I shall read the Court exhibit 17 No. 2576, omitting the last paragraph -- paragraph 18 eight. 19 Swern deposition of KAWAMOTO, Yoshitaro: 20 "I was born on the 15th of June of the 31st 21 Year of Meiji (1898) at 113, Teppocho, Hiroshima City, 22 which was my permanent address, and now live at 2234, 23 Omachi Nagoshi, Kamakura City.

"The outline of my personal history is as

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follows:

"December, the 8th Year of Taisho (1919), Appointed Sub-lieutenant;

"April, the 7th Year of Showa (1932), A member
of the General Staff;

"December, the 12th Year of Showa (1937), A member of the War Service Section of the War Ministry;

"December in the 14th Year of Showa (1939), Investigator of the China Affairs Board.

"July in the 15th Year of Showa (1940), Attache (Major-General) to the North China Expeditionary Commander;

"Until the end of the War.

"As I was a member of the War Service Section of the War Ministry and in charge of China relations affairs, I shall state on the establishment of the North China Exploitation Company as follows:

"The scheme for establishing the said Company was commenced from December of the 12th Year of Showa (1937), and the reason for it was as follows:

"The areas occupied by the Japanese troops in North China at that time were very narrow, ranging from Kalgan and Tatung in the north to Tsinan on Tientsin-Pukow Hailway and near Shihchiachwang on

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the Peiping-Hankow Railway in the south. Due to the scorched earth policy of the Chinese troops, the railways in the above areas were destroyed from their bridges, railroads and engine-sheds up to repair shops, etc., and goods to be transported by the railways were taken away to the hinterland and were not available for use. Peace and order was good, in so far as Peiping, Tientsin and other places on railway lines connecting principal cities and towns are concerned, but those in localities far . from these lines were in totally confused condition, and all industries other than agriculture came to a standstill, in consequence of which the people lost their livelihood, and there were no ways or means to sell even agricultural products, and the inhabitants were groaning under the difficulties of living. Under these circumstances it was earnestly desired that, in order to promote national prosperity, various industries should be reconstructed, communication conditions restored and resources of iron, coal, etc., which had been left unexploited should be exploited. At this juncture, in December of the 12th year of Showa (1937) the Commander of the North China Garrison Army informed the War Ministry of the importance of establishing a company

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to exploit important industries in North China, and sent to the Government data necessary for the establishment of the company. After discussion before the Cabinet, the matter obtained the approval of the Imperial Diet in Harch of the 13th Year of Showa (1938), and the company was established towards the end of the same year.

"The principal abject of the establishment of this Company was economical coalition forming the keynote to the co-existence and co-prosperity of China and Japan. In this connection, Japan supplied skill and capital, and China resources, capital and labour, managing thereby in cooperation. The profit obtained from the management of the company was to be divided equally between China and Japan, no share to Japan being over that of China. The Company did not always aim at profit, but rather was inclined to disregard defecits in the cause of developing industry. Moreover, Japan did not aim to obtain resources from China, and in planning any industry, quantities necessary for both countries were taken into consideration. On the other hand, the expansion of railways and harbours as well as the exploitation of important industries were aimed at not only for Japanese benefit, but also for the

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"With regard to the management of this Company, 1 too, the following were taken into account, in order 2 to promote the welfare of China and Japan: 3 "a) Haphazard control of important industries 4 and enterprises was avoided, in order that they might 5 be controlled by the Company; "b) The Company was not put under the control 7 of the Japanese Government, but left to private enter-8 prises, thereby aimin at the cooperation of China 9 and Japan; and the intrusion into North Cina of Manchukuoan 10 enterprises was prevented; 11 "c) The subscription to the Company's shares 12 was made 50% each for the government and for the people, 13 and those for the latter were offered to the whole 14 nation, in order that they might not be monopolized by 15 16 special plutocrats; 17 "d) Each business run by the subsidiaries 18 of this Company was put under joint management of China 19 and Japan and the Presidents and other directors of 20 the subsidiaries could be either Chinese or Japanese. 21 On the other hand the participation of Chinese capital 22 was generally desired and a principle of equal per-

centage of shares between China and Japan was adopted;

Company were limited in their items and scope, in order

"e) Businesses which could be run by this

to avoid oppressing Chinese private enterprises;

"f) As many Chinese as possible were employed by the companies concerned, in order to relieve the Chinese unemployment and to prevent monopolized management by Japanese.

"According to the demand and supply planning of the Planning Board, with regard to Japanese, Manchoukuo and Chinese goods at the time of the establishment of this Company (1938), the export to Japan of iron ores from NorthChina in the 14th, 15th and 16th Year of Showa (1939, 1940 and 1941) was under 50% of the total production in NorthChina, and there was still surplus even after meeting all the demand there, and the export to Japan of coal was also less than 50% of the whole production in North China, and the remainder could satisfy the demand of North and middle China. It is therefore evident that the project of the Japanese Government did not aim at the establishment of this Company with the object of exporting to Japan all the iron and all other products of North China.

"As far as salt and other goods are concerned the halving principle between China and Japan was adopted, too, and the demand of both countries was taken into consideration, in order that the demand of Japan might not exceed that of China.

"Thus, Japan imported iron, coal and other goods from China to such an extent that the Chinese demand of those goods was not interfered with, but in turn exported to China large amounts of goods rather in excess. In other words, Japan not only developed the Chinese basic industries and reconstructed and expanded Chinese railways and harbours, etc., always taking into consideration the permanent welfare of China, but also supplied to China enormous amount of capital and skill, as well as many cheap goods, rather sacrificing the internal demand of Japan. It was a great benefit towards the Chinese people that employment was given to the people in NorthChina who had been suffering from war."

The prosecution may cross-examine.

THE PRESIDENT: Brigadier Quilliam.

BRIGADIER QUILLIAM: May it please the Tribunal, we do not wish to cross-examine the witness.

MR. KANZAKI: May the witness be excused on the usual terms, sir?

THE PRESIDENT: He is discharged on the usual terms.

(Whereupon, the witness was excused.)

MR. KANZAKI: The defense wishes to call as its next witness OIKAWA, Genshichi.

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address.

BY MR. KANZAKI:

1 MARSHAL OF THE COURT: Mr. President, the 2 witness OIKAWA is in Court and has appeared previously 3 before this Tribunal. 4 THE PRESIDENT: You are still on your former 5 oath. 6 GINSHICHI OIKAWA, recalled as a 7 witness on behalf of the defense, testified through Japanese interpreters as follows: 9 DIRECT EXAMINATION 10 MR. KANZAKI: May the witness be shown 11 defense document No. 1324? 12 THE PRESIDENT: I understand the Clerk of 13 the Court has gone out to see whether the document has 14 been served. He thinks it has not been. 15 MR. KANZAKI: I was assured this morning that 16 this document had been served, but it seems not to 17 have been served and I am sorry for that. 18 THE PRESIDENT: It may have been. I cannot 19 say yet until the clerk reports. 20 MR. KANZAKI: I am sorry, Mr. President, for 21 wasting the Court's valuable time. 22 THE PRESIDENT: Well, ask him his name and

Q State your name, age and address.

A My address, No. 1181 Matsunoki, Suginami-ku, 2 Tokyo. My age, 59. My name, OIKAWA, Genshichi. 3 THE PRESIDENT: Well, I suppose the original 4 is with the clerk, is it? Or you suggest it is. MR. KANZAKI: I checked up with the distribu-6 tion section on the document this morning and they told me they had been served. I also handed to the Clerk 8 of the Court the order of proof and told him that we 9 were going to proceed according to that order. 10 THE PRESIDENT: If you have the original 11 affidavit, the affidavit signed by the witness, you may 12 hand it to him. 13 MR. KANZAKI: That original had been trans-14 mitted, or rather, submitted to the Secretariat already 15 and it isn't in my hands now. 16 THE PRESIDENT: You had better stand him down 17 and call another witness, if you have one. 18 (Whereupon, the witness was excused)

MR. KANZAKI: Then while this witness stands downand we prepare the presentation of this witness, I should like to transfer the procedure to Mr. ITO who will call the witness NAKAYAM, Yasuto.

THE PRISIDENT: Mr. ITO

MR. ITO: I shall call the witness NAKAYAMA,

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Yasuto.

THE PRESIDENT: The Clerk of the Court has returned. What have you to report, Mr. Mantz?

CLERK OF THE COURT: Yes, sir, I have the documents.

THE PRESIDENT: You have the document and the copies?

CLERK OF THE COURT: Yes, sir.

THE PRESIDENT: Recall the witness who has just been stood down.

MARSHAL OF THE COURT: Mr. President, in the confusion of witnesses called and recalled, it will take a moment to get the correct witness back.

THE PRESIDENT: Well, better go ahead with Mr. ITO's witness. Where is he? Mr. ITO, will you come to the lectern?

MARSHAL OF THE COURT: Mr. President, the witness NAKAYAMA, whom Mr. ITO wishes, is in Court and will now be sworn.

Y A S U T O N A K A Y A M A, recalled as a witness 2 on behalf of the defense, having previously been sworn, testified through Japanese interpreters as follows: THE PRESIDENT: What is your name and address? THE WITNESS: My name is NAKAYAMA, Yasuto. My address, No. 537 Sekido, Tama-mura, Minami-tama-gun, Tokyo.

DIRECT EXAMINATION

BY MR. ITO:

MR. ITO: May the witness be handed defense document No. 1345?

(Whereupon, a document was handed to the witness.)

- Is that your affidavit?
- A Yes, it is.
- Do you require any corrections to be made as to the statements made in that affidavit?
 - Yes, I have one correction.
 - Where? Q
- In paragraph 16 of the affidavit it states that General MATSUI received, on December 14, the information concerning the capture of Nanking. This should be corrected to read "December 13."
 - Is the rest of the statement true and correct?
 - Yes.

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1 THE PRISIDENT: Paragraph 16, I take it. 2 THE INTERPRETER: Paragraph 16, sir. 3 MR. ITO: I present in evidence defense docu-4 ment No. 1345. I also present in evidence defense 5 document No. 1345 for the purpose of accounting for 6 the absence of the document referred to in the affidavit. 7 THE INTERPRETER: I present in evidence 8 defense document 1345-B for that purpose. 9 THE PRESIDENT: Admitted on the usual terms. 10 I suggest you tender defense document 1345. 11 Did you do so? 12 MR. ITO: Yes. 13 THE PRESIDENT: Admitted on the usual terms. 14 CLERK OF THE COURT: Defense document 15 No. 1345 will receive exhibit No. 2577. 16 (Whereupon, the document above referred 17 to was marked defense exhibit No. 2577 and re-18 ceived in evidence.) 19

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1 THE PRISIDENT: Paragraph 16, I take it. 2 THE INTERPRETER: Paragraph 16, sir. 3 MR. ITO: I present in evidence defense docu-4 ment No. 1345. I also pres nt in evidence defense 5 document No. 1345 for the purpose of accounting for the absence of the document referred to in the affidavit. THE INTERPRETER: I present in evidence 8 defense document 1345-B for that purpose. 9 THE PRESIDENT: Admitted on the usual terms. 10 I suggest you tender defease document 1345. 11 Did you do so? 12 MR. ITO: Yes. 13 THE PRESIDENT: Admitted on the usual terms. 14 CLERK OF THE COURT: Defense document 15 No. 1345 will receive exhibit No. 2577. 16 (Whereupon, the document above referred 17 to was marked defense exhibit No. 2577 and re-18 ceived in evidence.) 19

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THE PRESIDENT: Now, I understand you desire K 1 to tender a further document, being the document p 2 referred to in what paragraph of the affidavit? 3 4 MR. ITO: Paragraph 11, 12, 13 and 18, sir. u THE PRESIDENT: Are those documents & 6 accompanied by a certificate? W 0 7 MR. ITO: Yes. 8 THE PRESIDENT: Well, you had better tender 9 the certificate also. 10 MR. ITO: Those certificates are embodied 11 in the defense document No. 1345-B, which I shall 12 present in evidence also. 13 THE PRESIDENT: It is admitted on the usual 14 terms. 15 CLERK OF THE COURT: Defense document 16 No. 1345-B will receive exhibit No. 2578. 17 (Whereupon, the document above 18 referred to was marked defense exhibit 19 No. 2578 and received in evidence.) 20 MR. ITO: I shall read Court exhibit No. 21 2578 -- 77. 22 THE PRESIDENT: We must check this as we 23 go along. I would like American counsel to give 24 more assistance to Japanese counsel in this matter. 25 That is mainly why American counsel are here.

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THE PRESIDENT: Now, I understand you desire to tender a further document, being the document referred to in what paragraph of the affidavit?

MR. ITO: Paragraph 11, 12, 13 and 18, sir.
THE PRESIDENT: Are those documents

accompanied by a certificate?

MR. ITO: Yes.

THE PRESIDENT: Well, you had better tender the certificate also.

MR. ITO: Those certificates are embodied in the defense document No. 1345-B, which I shall present in evidence also.

THE PRESIDENT: It is admitted on the usual terms.

CLERK OF THE COURT: Defense document No. 1345-B will receive exhibit No. 2578.

(Whereupon, the document above referred to was marked defense exhibit No. 2578 and received in evidence.)

MR. ITO: I shall read Court exhibit No. 2578 -- 77.

THE PRESIDENT: We must check this as we go along. I would like American counsel to give more assistance to Japanese counsel in this matter.

That is mainly why American counsel are here.

MR. ITO: As a matter of fact, Mr. President, Mr. Mattice was to have read this document, but because he is absent on official business, I am replacing him.

THE PRESIDENT: We shall have to do our best. Proceed to read the affidavit, exhibit 2577.

MR. ITO: Thank you, sir.

I commence reading with paragraph three:

"3. My rank at the time when I became a staff member of the Central China Area Army was major. I remained in that post from November 1937 to March 1938, and during that period of time I was engaged in intelligence business.

"4. The Central China Area Army was organized about November 5, 1937.

"In the neighbourhood of Shanghai and Central China, the Shanghai Expeditionary Army which had been organized in the middle of August 1937 was fighting the Chinese troops in order to protect the Japanese residents in and around Shanghai. However, the battles showed no advantageous progress on the Japanese side because of the great strength of the enemy and also for topographical reasons. For this reason, the 10th Army (YANAGAWA Army Corps) was newly organized and was landed on the north

1	coast of Hangchow Bay in order to facilitate the
2	performance of the duty of the Expeditionary Forces.
3	The Central China Area Army was organized with the
4	duty of placing these two armies, namely the Shanghai
5	Expeditionary Forces and the 10th Army under unified
6	control.
7	"5. General MATSUI, Iwane was appointed
8	Commander of the Central China Area Army and also
9	held an additional post of the Commander of the
10	Shanghai Expeditionary Forces.
11	"The staff members of the Central Area
12	Army were:
13	"Chief of the Staff Osamu TSUKADA, Major
14	General
15	"Vice-Chief of the Staff Akira MUTO,
16	Colonel
17	"Other Staff Members Masatake KIMIHIRA,
18	Lt. Colonel
19	" Yasuto NAKAYAMA, Major
20	" Yoshikiyo NINOMIYA, Major
21	" Takoshi YOSHIKAWA, Captain
22	"In addition to these mentioned above, there
23	was one staff officer of the Army Air Force, whose
24	name I have forgotten. Besides, there were three
25	adjutants. The total number of the members of the

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Staff Department was only seven. The names of these above, however, I have completely forgotten. The Central China Area Army Headquarters consisted of only such personnel as were mentioned above, There were no other organs and personnel.

"6. The Central China Area Army Commander was ordered to carry out the duty as follows:

"The Central China Area Army Commander will place the Shanghai Expeditionary Army and the 10th Army under his inified control and will regiment all supply works.'

"The chief duty was to adjust the concerted actions of the two Armies. As for the practical use and command of the troops, the Commander of the Shanghai Expeditionary Army and the 10th Army was considered to assume charge of them. Consequently, in the Headquarters of the Shanghai Expeditionary Army and that of the 10th Army, there were the perfect establishment of the Ordnance, Finance, Medical and Legal Affairs Departments in addition to Staff and Adjutant Departments. In the Central China Area Army Headquarters, however, such organs were not in existence.

"7. In order to explain why the Central China Area Army Headquarters were so imperfectly

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organized, it is necessary to clarify the duties alloted to each of the Shanghai Expeditionary Army and the 10th Army.

"The duty of the Shanghai Expeditionary
Army was 'to procure the important lines of Shanghai
and its northern districts, and to protect the people
of the Empire.'

"The duty of the 10th Army was 'to land on the north coast of Hangchow Bay and to facilitate the work of the Shanghai Expeditionary Army.'

"Besides, the Imperial General Headquarters decided the field of operations for the Central China Area Army on the delta of the Yangtse in the east of the line connecting Fushan, Suchow and Chiahsing.

"The Central China Area Army was organized only for the purpose of placing the two armies of the above duties under unified control. It was regarded, for this reason, quite unnecessary for this Army to get its headquarters perfectly organized. It is because this Army was established only for a short time and its fighting area was quite narrow.

"We received such information that, after retreated from Shanghai, Chinese troops concentrated large forces around the Nanking district and they

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1	were in a position to make a counter-attack.
2	"On December 1, 1937 the following order
3	was issued from the Imperial General Headquarters:
4	"The Central China Area Army will capture
5	Nanking in cooperation with the Navy.
6	"Even after this order was issued, the
7	organization of the Central China Area Army Head-
8	quarters remained quite mechanized and it was engaged
9	solely in commanding operations as before.
0	"9. One day after he received the above
1	order, General MATSUI issued to both armies the orders
2	which were almost like the following:
3	"1. The Shanghai Expeditionary Army will
4	attack Manking with its main strength from the area
5	along Wu Shih-Tanyang-Chujing Road.
6	"2. The 10th Army will attack Nanking from
7	the area along Huchou-Kuangte-Wuhu "oad.
8	"3. The 101 Regiment Division will make
9	preparations for attacking Hangohaw in the neighbour-
0	hood of Sungchiang.
1	"4. The 11th Division and the 1st Infantry
2	Brigade of the 3rd Division will assume charge of
3	maintaining the public peace in and around Shanghai.

"10. Until December 15, 1937, the Central

China Area Army Headquarters was located at the

point about ten kilometers north of Phanghai, but advanced to Suchow on the same day. At that time, General MATSUI was sick in bed, but he disposed of all important matters in his sick-bed.

"On December 7th, Prince ASAKA arrived at the actual field as the Commander of the Shanghai Expeditionary Army. General MATSUI was relieved of the additional post he had held and, as a result, he came to command the Central China Area Army solely.

"11. After arriving at Suchow, General MATSUI told General TSUKADA, Chief of the Staff,
'As Nanking is the capital of China, our capture of it is an international event. Careful studies must be made in this regard so as to dazzle China even more greatly with Japan's military glory and to have the Chinese people in general place a greater confidence in Japan.' This instruction was conveyed from the Chief of Staff to us staff officers. We began to make our study quite immediately with General MATSUI's principle in mind. As regards the International Law and International Practices, we consulted Dr. SAITO, Yoshio. Finally we made up such an order of the following gist:

"l. The Central China Area Army intends

to capture the Walled City of Nanking, the capital of China.

"2. The Shanghai Expeditionary Army and

"2. The Shanghai Expeditionary Army and the 10th Army will capture the Walled City of Nanking in accordance with 'The Way of Capturing the Walled City of Nanking' written in another sheet of paper.

"In Clause I of the draft order, there was originally found the phrase 'The Capital of the Enemy Nation'. However, Commander MATSUI corrected it as 'The Capital of China', based upon his own opinion that China as a whole was not our enemy, but only some section of her countrymen were enemies.

"The Way of Capturing Nanking' referred in Clause II meant the following matters:

"1. Foth armies will stop and make preparations for the capture of the Walled City of Nanking when they have advanced to the line three or four kilometers off that city.

"2. On December 9th, written advice for surrender will be scattered from airplanes among the Chinese soldiers inside the Walled City of Nanking.

"3. In case the Chinese Army surrender, two or three battalions selected from each division and M.P. units alone will be sent into the Walled

City of Nanking. There, they will be engaged in guarding the zone which is shown on the map.

Especially foreign rights and interests and all cultural institutions such as those which are particularly shown in a separate paper are to be perfectly protected.

agree with our advice for surrender, an offensive will be commenced in the afternoon of December 10th. On this occasion, too, the Japanese units that will enter the Walled City of Manking must act in the same way as above. Especially military discipline and morale must be strictly maintained and public order must be restored promptly. At the same time as the above order was drawn up, the instruction entitled 'All the Orders and Words of Advice Concerning the Capture of and Entry into the Walled City of Nanking' was composed. This was drafted in the staff Officers' Department so as to enable all the armies to realize completely what General MATSUI had intended.

"The gist of the above instruction was as follows:

"1. The entire world has been paying its sharp attention with the realization that the capture

and entry into a foreign capital by the Imperial

Army is the event which is quite unprecedented in
the history of our country and will remain permanently
in books. In view of the above fact, all troops
should absolutely refrain from forcing their way
violently into the city, from fighting among themselves,
and from making any illegal acts.

"2. Military discipline and morale of each unit should be most strictly maintained so that both the Chinese soldiers and civilians may respect the dignified manner of the Japanese troops and may also plead allegiance to them. Thus, any such acts as would dishonour the Japanese Army should never be taken under any circumstances.

"3. You are never permitted to approach any places for foreign rights and interests particularly diplomatic organs which are illustrated in an annexed sketched map. You should never enter any neutral zones where diplomatic corps have been established unless it is absolutely necessary to do so. Sentries should be posted in all necessary points.

"Moreover, you are phohibited to enter the tomb of Sun Yat Sen and the mausoleum of the Emperor Hsiao of the Ming Chao Era and other resting places of patriotic revolutionists which are situated outside

of the Walled City of Nanking.

"4. Units to enter the Walled City should be especially selected by the divisional commanders. The words of advice for the capture of the Walled City of Nanking, especially all the places of foreign rights and interests inside the Wall, should be thoroughly given beforehand to all of them in order that no mistakes may be made for any reason whatseever. If necessary, sentries should be posted.

"5. Severe punishment is to be given to those who would plunder or who would cause a fire to break out even because of their carelessness.

"A great number of military policemen as well as assistant military policemen should be made to enter the Walled City at the same time as troops in order to prevent all unlawful acts.

"12. All findings about foreign rights and interests are based upon the answers I received from Consul Generals or consuls of various countries in Shanghai whom I had inquired about them through the hands of the Japanese Consul General there.

Thus, I draw all of them on a map in red ink for clarifying matters.

"As for cultural institutions, these, except the tomb of Sun Yat-Sen and the mausoleum

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of the Emperor Hslao of the Ming Era -- the lecations of which were clearly known, were investigated and instructed to me by Japanese diplomatic organs. In this way, I drew all such matters in maps quite clearly so as to enable all Japanese to understand them to the fullest extent.

"13. All those orders, instructions and maps referred above concerning the capture of Nanking were carried and delivered on December 8 to the Shanghai Expeditionary Army Feadquarters by General TSUKADA, Chief of the Staff, together with Lt. Colonel KIMIHIRA, a member of the Staff and myself. Another staff officer was at the same time dispatched to the 10th Army to enable the whole army to learn 8 perfectly the above matters. Notes of advice for

"At that time, General MATSUI was sick in bed in Suchow, and Colonel MUTO, Vice Chief of the Staff, was with him.

surrender to the Chinese Army inside the "all were

scattered on December 9th by the air corps of Chu-

"After delivering the above-mentioned orders, the Chief of Staff and all of us resided in TANG-shuichen.

"14. Until about 1 p. m., December 10th, General TSUKADA, Chief of the Staff, and I had been waiting outside the CHUNGSHAN Gate for the bearer of the flag of truce from the Chinese Army. However, he did not come at last. For that reason, from about 2 p. m., a general attack was commenced against Nanking. Although the Chinese offered stubborn resis-

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tance behind the Wall, the Japanese Armies succeeded in capturing the "all of Nanking at about 12 p. m. of December 12th. Fearing the armies might be thrown into confusion, General TSUKADA, Chief of the Staff. had his subordinate staff officers inform both armies of their strict obedience to the above orders. Despite this, the majority of the first line troops had already entered the Wall before we could be aware of it. I heard later that they were drawn into the Wall before we could be aware of it. I heard later that they were drawn into the Wall under the natural influence which came after the enemy's strong resistance was broken down. It was also due to the fact that the Japanese troops could not be quartered because all barracks and schools outside the "all had been destructed or burnt by the Chinese Army or the Chinese people. There was still another reason, that there was very little water outside the "all. and even if there was some, it was not drinkable at all, I was informed some time later.

"15. On December 13th, in order to ascertain the fact concerning the capture of Nanking. I entered Nanking City through Chungshan Gate. On the 14th and 16th, I paid a visit to Nanking again for making preparations for the formal entry of

General MATSUI. I proceeded along the main road through Chungshan Gate to the National Government Office first and then to the Capital Restaurant.

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"The road I took was expected to be taken by General MATSUI at the time of his entry into Nanking. I witnessed on that road no dead bodies except some sand bags which were scattered about near Chungshan Gate. Near the airdrome inside the Wall, that is to say, in the southern part of Nanking, I caught a glimpse of some smoke which was rising up there, but on the area in and around the Capital Restaurant, namely, on the northern part of Nanking, no great damage was inflicted. The Nacional Government had had no damage at all. The Capital Restaurant, though it appeared undamaged, got its inside desperately devastated, because the Chinese troops seemed to have been staying there. Under the leadership of the Adjutant of the Department of the Headquarters, the Capital Restaurant was being cleaned on the morning of the 16th. and in the room for General MATSUI, necessary preparations were made with some difficulty. All members under the Chief of Staff, however, were ready for bivouac, it was told.

"16. General MATSUI received, on December 14th, the information concerning the capture of Nank-

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ing. Though he had not yet completely recovered from his illness, he flew in a small-sized airplane from Suchow on the 15th together with Colonel MUTO, Vice Chief of the Staff, and arrived at the airdrome of Chujung, where he advanced by motorcar as far as Tangshuichen.

"On December 17th, the ceremony for the triumphant entry into the Walled City of Nanking was held, and General MATSUI entered together with Vice Admiral HASEGAWA, Kiyoshi, Commander of the Navy. The Capital Restaurant was his lodging. After the ceremony, General MATSUI gathered all participating officers and ordered them to be more strict in maintaining military discipline and morale. He also commanded the troops inside the "all to adjust, and ordered unnecessary troops to go outside. This order of his which was demending more strict execution of his earlier orders was issued because he had received a report from the Military Police there regarding some crimes connected with military discipline and morale which were committed by some troops under his command.

"General TSUKADA immediately ordered the staff officers under him to inspect the outside of the "alled City of Nanking for the investigation of the quartering power there. As a result, the area came to be found out that it was absolutely unfit for military quartering. For that reason, on December 19th, it was decided that the 10th Army would be sent back to the Tuhu district, and that the Shanghai Expeditionary Army, leaving only the 16th Division for the protection of Nanking, would be made to retire gradually to the north coast of the Yangtse and to the Shanghai district. This was gradually put into practice.

"17. On December 18, a memorial service for the dead officers and men was held. In celebrating this memorial service, General MATSUI strongly emphasized that 'not only the souls of the Japanese dead, but also those of the Chinese dead should be worshipped and appeared -- such alone is the foundation for the establishment of peace and harmony between China and Japan, and is also the real spirit of the Creater Asia Doctrine I always emphasize.'

He gave an order to the Chief of the Staff to make preparations for the memorial address, etc.

"However, there was not enough time left for us to do so. It was therefore decided that the memorial service for the departed spirits of the Chinese would be held on another occasion. General

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MATSUI regretted it quite immensely. After repatriating to Japan, he had a temple built for the Goddess of Mercy at IZUYAMA, ATAMI City and Kanagawa Prefecture, and here he worshipped the souls of the dead of both the Japanese and Chinese troops. The

temple still exists now.

"18. The so-called poor people's quarters which were administered by the Nanking Safe free Committee were not officially acknowledged as a neutral zone according to the international laws, but these quarters came to be considered that there would be no trouble. Therefore, it was decided that, even after the capture of Nanking, these quarters would be protected and that those quarters would be clearly partitioned and guarded by military troops. It was considered that even soldiers would not be permitted to enter these quarters without special permission.

"Later, we heard that the committee had protested against the atrocities committed by the Japanese soldiers within these quarters. However, their protest did not reach the Central China Area Army Headquarters. Even granting that there were such illegal acts there, protests had to be offered to the Japanese Consulate which was to establish

communication with the Special Service Organs, and the Shanghai Expeditionary Army Headquarters which had had direct responsibility for guarding Nanking. Despite this, there were no informations from the Shanghai Expeditionary Army to the Central China Army and therefore neither Commander MATSUI nor the Staff Department knew the above-mentioned protests.

"Unlawful acts by the Japanese soldiers, if any, had to be investigated and court-martialed and only the results were to be reported to the Central China Area Army Headquarters.

"However, the rumor that there were unlawful acts of the Japanese troops in Nanking came to the Knowledge of General MATSUI after his return to Shanghai with all his staff officers. General MATSUI came to feel quite uneasy and had me transmit the following instructions:

committed in Nanking by Japanese troops. As I gave instructions on the occasion of the entry ceremony into Nanking, no such acts should be taken under any circumstances for the honour of the Japanese Army. Especially, because Prince ASAKA is our Commander, military discipline and morals must be even more

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strictly maintained. Any one would would misconduct himself must severely be punished. As for damages done, measures should be taken that they may be compensated or returned.' "Therefore, on or about the 26th or the 27th of December. I left Shanghai for Nanking and conveyed the above order to the Chief of the Staff of the Shanghai Expeditionary Forces." THE PRESIDENT: Mr. Sutton. MR. SUTTON: There is no certificate --THE MONITOR: Just a moment, please, Mr. Sutton. MR. ITO: (Continuing) "It was reported that the Chief of the Staff and all the staff of the Shanhai Expeditionary Forces were inspecting day and night all the streets there so as to realize the perfect maintenance of the military discipline and morals there." THE MONITOR: Mr. Sutton, you interfered while the reading was going on. We didn't hear your words. "ill you repeat the whole thing? THE PRESIDENT: You refer to the second

last paragraph on page 10, I take it?

graph which begins "It is rumoured ..."

MR. SUTTON: Page 10, yes, sir, the para-

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THE MONITOR: That paragraph is that, sir?

MR. SUTTON: The next to the last paragraph
on page 10.

THE MONITOR: Mr. Sutton, when you refer to a section in this affidavit, please give us a numbered paragraph, not the page. The English '. ' "page 10" doesn't mean anything to us if we are to find any place.

IR. SUTTON: Paragraph No. 18.

THE MONITOR: Thank you, sir; the next to the last paragraph in that section.

MR. SUTTON: My objection, may it please the Tribunal, is that there is no certificate covering these instructions, showing that they are not available in documentary form.

MR. ITO: Mr. President, may I explain the matter? There are no written instructions. The instructions were issued verbally and transmitted verbally.

THE PRESIDENT: Well, proceed to reed.

MR. ITO: (Continuing) "19. Before and after the ceremony for the entry into the "alled City of Nanking was held, I went to Nanking and carried out inspections in the city. On those occasions I saw no dead or massacred bodies of the Chinese civilians except about one hundred dead

bodies near Hsickuan, and about thirty near asia Park, which looked like Chinese soldiers.

"I hear that there were about five thousand prisoners of war in Nanking. But they have never been massacred, but they have gradually been released on the other side of the Yangtse, according to the information received from both armies.

"20. General MATSUI was always anxious to avoid friction with foreign nations, and gave orders to protect foreign rights and interests. The Staff Department, too, transmitted them to both armies on all possible occasions. Powever, some violences of foreign rights and interests came to my knowledge by the information which came from both armies. Since violation of the foreign rights and interests was to be settled by international negotiations, it could not be treated by either of the two army headquarters. For that reason, the information came to the Central China area army. Concerning the protection of foreign rights and interests, one thing we wore practically embarrassed with on the battle-field of Chine was that the Chinese soldiers and civilians made false use of the American, British, German and other country flags. It was quite frequent that they hampered Japanese operations in this way. For in-

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stance, although it was quite evident that at Yangchou there existed no foreign rights and interests,
as the result of previous investigations British,
imerican and German national flags were being put
up. Consequently, this occasioned our doubts
and on examination it became clear that the Chinese
had been using the foreign national flags falsely.

Such instances on the battlefield of China, both on sea or on land have often been reported to me. In consequence, we had to experience such inconvenience that the Japanese soldiers were unable to believe that where there were foreign national flags, there were foreign rights and interests. "e believe that the case of violating foreign rights and interests which broke out in Nanking must have been treated and settled by the hands of the Damage Investigating Committee in Shanghai consisting of members of both Army and Navy and of diplomatic organs there.

"21. I know about the affair concerning the sinking of the 'Lady Bird.' I received the information that a British gunboat had been fired upon near "uhu by the artillery troops attached to the 10th ...rmy. ...bout the 14th of December, therefore, the Chief of the Staff ordered me to go and

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investigate the real situation.

"I immediately went to the 10th Army
Headquarters and conducted investigations. The
following facts have been discovered as a result:

"On December 11th, the 10th Army Headquarters were advancing near "Tuhu. Severe battles were being fought near Nanking, and the Chinese troops were in the midst of retreating on the Yangtse aboard various kinds of vessels, big and small, which they had mobilized. Lt. General YANAGAWA being aware of the situation, conveyed to Colonel HASHIMOTI, Commander of the 13th Artillery Regiment, an order by telegram: 'Regardless of nationality, carry out bombardment!'

"The reason why he issued this order was that he understood that the ships were retiring with retreating Chinese soldiers on board and with foreign national flags. Col. HASHIMOTO who received this order was advancing in the direction of Nanking at that time, but on the 11th returned to "uhu all of a sudden, and thus occupied positions there. On the morning of the following day, he witnessed in the thick mist on the Yangtse several vessels carrying the retreating soldiers, and instantly he fired upon them. It became clear that the 'Lady Bird' had been

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among the fired vessels, and that entirely due to the thick mist, he had made an error.

"I reported the results of my investigations as above to General TSUKADA, the Chief of the Staf, and then to General MATSUI.

"General MATSUI ordered General TSUKADA,
the Chief of Staff, to transmit his message to the
Commander of the 10th Army that apology should be
immediately made to the Chief of the British Navy,
and I heard about this matter beside him. Then I
heard that General MATSUI, immediately after returning to Shanghai from Nanking, met Admiral Little, of
Britain, to whom he expressed feelings of his great
regret, and that the Admiral came to understand this
matter and promised him to convey General MATSUI's
apology to the British Government.

"22. The shelling of the 'Panay' was chiefly investigaged by the Navy. I obtained the outline
of the case only and never went into details. As
regards this matter, too, I have received the information that General MATSUI, after returning to Shanghai from Nanking, expressed feelings of regret to
admiral Yarnel, Commander-in-Chief of the American
Navy.

"23. General MATSUI entered the "alled

among the fired vessels, and that entirely due to the thick mist, he had made an error.

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"23. General MATSUI entered the "alled

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City of Nanking on December 17th, and on the 20th left for Shanghai by destroyer with the Chief of Staff. All other members, including Colonel MUTO, Vice Chief of the Staff, reached Shanghai on the 21st or 22nd by a temporarily mended train. The reason why General MATSUI hurried back to Shanghai like this was because he had been ordered by the Imperial General Headquarters to commence the attack against the Hangchow area at the end of December, and he, for this reason, had to command operations.

"24. Until the capture of Nanking, there had been no judicial department attached to the Central China Area Army and, naturally, no courtmartial. However, the Japanese Army on or about December 20th was like this: The Shanghai Expeditionary Forces Headquarters was placed in Nanking, the 10th Army Headquarters in the Hangchow area and Central China Area Army Headquarters in Shanghai. Therefore, at the end of December, the Judicial Department was established also in the Central China Area Army by the order of the Imperial General Headquarters, and the court-martial came to establish itself.

"The Judicial Department attached to each army is an independent law organ. It was under each commander and was never commanded by the Chief of the

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Judicial Department of the Central China Area Army, though the results of the court-martial had to be reported to him.

"The chief of the staff of each army has no right to command the Chief of the Judicial Department, but he is able to administer the business affairs of the Judicial Department. However, the Vice Chief of the Staff of each army has no authority whatsoever concerning this.

"Such being the case, all the staffs below the vice-chiefs are quite ignorant of the results of the court-martial or the business of the Judicial Department unless they are given some special information from the Chief of the Judicial Department.

"25. The so-called Nanking Incident came
to be runored in the world long after I had resigned
the post of a staff of the Central China area Army.

As I have stated above, I paid my visit to Nanking
several times, but I have never heard about such great
events as have been runored so far, nor have I seen
anything concerning such events."

THE PRESIDENT: "e will recess for fifteen minutes.

("Thereupon, at 1445, a recess was taken, until 1500, after which the proceedings were

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resumed as follows:)

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Judicial Department of the Central China Area Army, though the results of the court-martial had to be reported to him.

"The chief of the staff of each army has no right to command the Chief of the Judicial Department, but he is able to administer the business affairs of the Judicial Department. However, the Vice Chief of the Staff of each army has no authority whatsoever concerning this.

"Such being the case, all the staffs below the vice-chiefs are quite ignorant of the results of the court-martial or the business of the Judicial Department unless they are given some special information from the Chief of the Judicial Department.

"25. The so-called Nanking Incident came
to be runored in the world long after I had resigned
the post of a staff of the Central China area Army.

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several times, but I have never heard about such great
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court.

MARSHAL OF THE COURT: The International
Military Tribunal for the Far East is now resumed.

THE PRESIDENT: Where is the witness? The witness should be in the box at the resumption of

Great laxity is being displayed today. Fancy having to waste this amount of time. This is no laughing matter. It is a very serious matter. We will have to take stern measures against some people.

Y A S U T O N A K A Y A M A, called as a witness on behalf of the defense, resumed the stand and testified as follows:

MR. SAEKI: I am Counsel SAEKI representing the accused MUTO. I have a brief direct examination to make to this witness on the part of my accused MUTO.

DIRECT EXAMINATION (Continued)

BY MR. SAEKI:

Q Generally speaking, what were the duties of the Vice-Chief of Staff of the Central China Expeditionary Force, which was the post occupied by the accused MUTO?

A The Vice-Chief of Staff is the assistant to the Chief of Staff, and his main duties relate to the supplying of men and ammunition in accordance with orders given by the Chief of Staff.

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Q Does the Vice-Chief of Staff have the authority to make decisions or to give orders?

A As I said before, he was merely an assistant to the Chief of Staff and has no power of decision.

Q In what kind of work was he engaged while he was staying in Nanking between the time of the ceremony of the entry into Nanking on the 17th of December up to the 21st or 22d of the same month?

A First of all an inspection of the situation and disposition of troops outside the walls of Nanking, and efforts not to let Japanese soldiers within the walls of the city to get out -- and also inspection into the billeting capacity outside the walls of Nanking, and efforts to prevent seldiers from going outside of the wall.

THE INTERPRETER: Correction please: He was trying hard to billet as much as possible the Japanese troops outside the walled city of Nanking.

A (Continuing) He was giving me directions, as to me, the senior staff officer, directions with respect to the protection of foreign interests and other matters pertaining to foreign relations.

Q Were there any special reasons for which you were appointed the senior staff officer on behalf of the Central Expeditionary Forces, the Japanese

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1	Expeditionary Forces in Central China?
2	A I should think it was from the fact that
3	Colonel MUTO considered my background as being most
4	fitting in handling foreign relations because I had
3	studied abroad in America and China.
6	MR. SAEKI: That is all.
7	Mr. ITO: The prosecution may cross-examine
8	THE PRESIDENT: Mr. Sutton.
9	MR. SUTTON: If it please the Tribunal.
10	CROSS-EXAMINATION
11	BY MR. SUTTON:
12	Q When did the 10th Army, the YANAGAWA Army
13	Corps, land in China?
14	A The 5th of November 1937.
15	Q Was not General MaTSUI placed in command of
16	the Middle China Expeditionary Force on 30th October
17	1937?
18	A May I have that repeated?
19	(Whereupon, the question was read
20	by the Japanese court reporter.)
21	A Yes.
22	Q And was he not concurrently Commander of the
23	Shanghai Expeditionary Force?
24	A Yes, he was.
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How long did General MATSUI remain in command

	of the Central China Expeditionary Forces?
1	A About ten divisions.
3	Q You apparently did not understand my question
4	I asked you how long did General MATSUI remain in
5	command of the Central China Expeditionary Forces?
6	A General MATSUI was at first Commancer of the
7	Shanghai Expeditionary Forces, and then later he
8	concurrently became Commander of the Central China
9	Area Army. Following that he became Commander of the
10	Central China Expeditionary Forces.
11	THE PRESIDENT: Do you really want to know
12	how long he remained in charge?
13	Q Was General MATSUI in command of all the
14	military forces of Japan in China which engaged in
15	the campaign resulting in the capture of Nanking?
16	A Not so.
17	Q And did he not continue in command of the
18	troops which occupied Nanking antil he was succeeded
19	by General HaTA in February 1938?
20	A At the time of the occupation of Nanking
21	General M.TSUI was the Commander-in-Chief of the
22	Central China Area Army only, and then he was succeeded
23	
	to that post by General HATA.

Q	Did the Chinese Army defending Nanking
continue	to offer resistance after the Japanese
troops en	ntered the city on 13 December 1937?
A	What date of Lecember?
Q	13th.
	THE PRESIDENT: 13th.
A	The morning of the 13th, to speak more

A The morning of the 13th, to speak more in detail, the Japanese Army captured the wall of Nanking at twelve midnight of December. The night of the 12th of December, and if I should say it more exactly, the early morning of the 13th of December, the Japanese forces captured the wall of Nanking. It is believed that there was fighting to the morning of the 13th because with the momentum with which the Japanese forces attacked the walls they pursued the fleeing Chinese forces.

Q Is it not a fact that after Nanking fell, all armed resistance within the city ceased?

A Yes, I think that the armed resistance ceased on the morning of the 13th.

Q Where did General -- you stated that General MATSUI's headquarters were at the Capital Restaurant. How far is that from the grounds of the University of Nanking?

A I recall the distance being about two

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is true.

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1	kilometers north of the university.
2	Q How close was the Capital Restaurant to the
3	nearest refugee zone?
4	A I recall I remember it as being about
5	one and a half kilometers.
6	Q Which was the nearest refugee zone to the
7	headquarters of General MATSUI?
8	A In my recollection there was only one
9	refugee zone in Nanking.
10	Q Were all of the 200,000 refugees crowded
11	on this one refugee zone?
12	A They were in one large area, but they were
13	not crowded.
14	Q Was Chinling College within the refugee zone t
15	which you refer?
16	A Yes.
17	Q How long did General MATSUI remain in Nanking?
18	A About one week after the capture of Nanking.
19	Q You state in your afficavit that he arrived
20	on the 17th and left on the 20th. I take it you wish
21	to amend that statement?
22	A I withdraw the word "one week." I was
23	mistaken. The statement I have made in the affidavit

Q I call your attention to the statement of

1	General MATSUI in his interrogation, exhibit 257,
2	from which I quote one sentence:
3	"I entered Nanking on the 17th and after
4	one week I returned to Shanghai."
5	Does that refresh your recollection?
6	A I have no positive recollection as to how
7	many days General MATSUI stayed in Nanking, but my
8	recollection is that he stayed the length of time as
9	I have stated in my affidavit.
0	Q What days were you in Nanking during December
1	of 1937?
2	A I was in the suburbs of Nanking, called
3	Tangshuichen, before and after the capture of Nanking.
4	My first entry into the city was made on the 13th,
5	and I was there on the 14th and also the 15th.
6	Q On what days did you carry out inspections
7	in the city of Nanking?
8	A On all days.
9	Q In what parts of the city did you carry out
0.0	your inspections?
1	A I do not positively recall the street names
2	or district names. But I inspected all over the city,
3	both within and without the walls.
4	Q Did you have occasion to inspect the grounds

of the University of Nanking?

By the University of Nanking, I presume you 1 to mean Chinling University. Yes, if that is the case. 2 Is that what you mean? 3 I mean the grounds of the University of Nanking, which is distinguished from Chinling College. 5 I have never seen the University of Nanking. 6 Q Did you have occasion to inspect the grounds 7 of Chinling College, on which--8 Yes, twice. 9 On which more than 20,000 women and girls 10 were refugees? 11 I don't know exactly how many women and girls 12 were taking refuge there, but I did see with my own 13 eyes that there were women and girls taking refuge 14 there. 15 At how many places in the city of Nanking Q 16 did you see dead bodies? 17 Two places. 18 Did you inspect at night as well as by day? 19 Yes. A 20

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1	Q How frequently at night?
2	A Only twice in my recollection.
	Q Where were these two places at which you
	saw dead bodies in the city of Nanking?
	A The first place was a place called
	Hsiakwan, in the suburbs of Nanking. The second
7	was Asia Park.
	Q So that at only one place in the city
	of Nanking proper did you see dead bodies; is that
	correct?
	A To speak more accurately, it would mean
2	that I didn't see any within the walls of Nanking.
	Q Were these dead bodies that you saw at
	two places outside the walls of Nanking civilians
	or soldiers?
	A Dead bodies of soldiers.
7	Q And you saw no dead bodies of civilians
8	either within or without the walls of the city of
)	Nanking?
	A I have never seen them.
	Q You state on page 8 of your affidavit
2	THE MONITOR: Paragraph number, please.
	IR. SUTTON: Second paragraph of Section
	15.

Q (Continuing) "I witnessed on that road

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no dead bodies except some sand bags which were scattered about near Chungshan Gate." Did the sand bags contain the bodies of the dead?

No dead bodies in these bags.

Q What do you mean by that statement which I quoted to you?

THE PRESIDENT: We have an idea, if you haven't, Mr. Sutton?

Did you in your inspections go on any of the side streets of Nanking?

> A Yes.

Q Did you go along the Bund?

Yes. A

And did you go along the banks of the Yangtze 15 River outside the walls of the city of Nanking?

> A Yes.

And did you not learn that there were bodies of thousands of dead civilians on the banks of the Yangtze River, where they had been shot by Japanese soldiers?

Absolutely not?

Did you see Chinese civilians tied together being marched along the streets of Nanking by Japanese soldiers?

> A No.

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Q And did you not learn that groups of civilians of more than one thousand each were taken from the safety zones, marched to the banks of the Yangtze, and mowed down by machine gun fire by Japanese troops?

A I have never at any time seen or learned of anything like that, and I do not believe that such is a fact.

IR. SUTTON: These questions are based upon the testimony of Mr. Magee, which appears at page 3898 of the record, and the testimony of Dr. Hsu, which appears at pages 2563-64 of the record.

Q Then did General MATSUI first receive reports that the troops under his command had committed crimes in Nanking?

A The first report was received immediately after the entry into Nanking.

Q And from whom did he receive reports that the troops had committed crimes in Nanking?

A I think the reports were received from the Kempei, the military police authorities.

Q What were the type and natures of the crimes which the report stated had been committed by his troops?

I have no positive recollection. 1 Q Did he receive any other reports of 2 crimes committed by his troops than those which 3 he received from the military police? 4 I think he did. Α And from whom did he receive the 6 additional reports that his troops had committed 7 crimes in the city of Nanking? 8 A From commanders and division commanders 9 under General MATSUI's command as well as from 10 diplomatic organs. 11 How many reports did he receive from 12 his commanders and division commanders relative 13 to these crimes on the day on which he entered 14 Nanking? 15 I have no positive recollection of that. 16 17 "ere you with him when he received the 18 reports? 19 No, we were not together. A 20 Do you know whether or not the defendant 21 MUTO was with him when he received these reports? 22 I have no recollection of that.

You stated that he received reports

from diplomatic sources. Do you refer to the

consular officers in Nanking?

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Yes, I am speaking of Japanese personnel who remained in the Japanese Consulate in Nanking. Was FUKUDA, F-U-K-U-D-A, Tokuyosu, one of the officials from whom General MATSUI received reports? I do not know whether FUKUDA was one of those who remained behind in the Consulate. Was FUKUI, the Acting Consul General in Nanking, one of those from whom General MATSUI received reports? I have no recollection. Did you know the Consul General, HIDAKA, Shinrokuro? Yes, I do. A

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somebody else.

Q Was he not in Manking on December 17 and
for some days thereafter?
A I think he was.
Q Were not the reports on anything allegedly
wrong done by Japanese soldiers that were submitted
to the Consul General by foreign residents sent to
the army in Tokyo to the army in Nanking?
A I think such matters were reported to the
Special Service Department of the Shanghai Expeditionar
Forces.
Q Is it not a fact that the International
Committee for the Nanking Safety Zone made daily
reports and sometimes several reports on the same day
to the consular authorities relative to the atrocities
committed by the Japanese troops in Nanking?
A Yes, I learned of that much later.
Q And in addition to those reports did not
Dr. Bates on behalf of the University of Nanking and
Mr. John H. D. Raabe and John G. Magee and others make
reports to the consular authorities concerning the
atrocities committed by the Japanese soldiers in
Nanking?

I learned of those facts later through

Q Is it not a fact that on December 16, 1937

a report with a list of atrocities committed by

Japanese troops was sent to Mr. FUKUDA of the

Japanese consular service by the secretary of the

International Committee for the Nanking Safety Zone?

A I do not know that.

Q And is it not also a fact that on December 18, 1937 when General MATSUI was in the city of Nanking that a report was sent by John H. D. Raabe, chairman of the International Committee for the Nanking Safety Zone to the Japanese Embassy marked for the attention of the second secretary, Mr. FUKUI?

THE PRESIDENT: Mr. Sutton, this is impossible. Fancy asking him, does he know all these details. He might if he were a member of the consulate. You are only reminding us of the evidence. You are not putting your evidence to him with a view to getting an answer; your purpose is to remind us that this evidence for the prosecution exists. It is helpful to know it but, really, it should come in an address at the end of the case.

Q Did the consular offices in Nanking report to the army the information which they secured from the foreign residents in Nanking?

A As I said before, I think such reports were made to the Shanghai Expeditionary Forces and the

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Special Service Department but unfortunately such reports were not made to the Central China Area Army.

Q Whose duty was it to transmit those reports to the Central China Area Army?

A I think the staff department of the Shanghai Expeditionary Forces.

Q Were not the reports made direct by the members of the diplomatic service to General MATSUI himself when he was in Nanking?

A No.

Q I call your attention to the interrogation of General MATSUI, exhibit 257, in which he states that he heard reports that his troops had committed many outrages almost as soon as he entered Nanking and that he heard these reports from Japanese diplomats in Nanking. Is not that a correct statement of the facts?

A I am unfamiliar with the details inasmuch as I was not always near General MATSUI but in so far as I know the Central China Area Army has not received reports from diplomatic sources.

Q Did you see any fires burning in the city of Nanking?

A Yes.

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And at how many different places in the 1 city of Nanking did you see fires burning during the 2 time you were there? At one place and that was west of the airfield south of Nanking. 5 Within or without the city walls? 6 Within the walls. 7 And at only one place did you see any fires 8 burning when you were in Nanking? 9 Yes. Α 10 You stated in section 20 of your affidavit 11 that information with regard to the violation of 12 foreign rights and interests came to the Central 13 China Area Army. How many complaints did you receive 14 of violations of foreign interests in Nanking? 15 A Two. 16 Did they, either of those, have to do with 17 the burning of the Russian Embassy which occurred on 18 January 1, 1938? 19 No connection. A 20 Did they have to do with the burning of the 21 YMCA building or the buildings of churches or church 22 schools in the city of Nanking? 23

I have never heard that even the fact that

such schools had burned down.

Q Had you not heard of the burning of the 1 Russian Embassy? 2 A Yes, I did. 3 Was the complaint filed by twenty-two 4 foreign residents with the consular office on 21 5 December 1937 in which they asked that the burning 6 throughout the city be stopped; was that complaint referred to you? 8 A No. 9 MR. SUTTON: The question is based upon 10 number 20, which appears in exhibit 323. 11 THE PRESIDENT: We will adjourn until 12 half-past nine tomorrow morning. 13 (Whereupon, at 1600, an adjournment 14 was taken until Tuesday, 13 May 1947, at 0930.) 15 16 17 18 19 20 21 22 24 25

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